

Bank Secrecy Act Conference

Thursday, November 6, 2025 • 9:00 am – 2:55 pm
The Embassy Suites by Hilton, Berkeley Heights, NJ

AGENDA

5 CPE, 5 CLE, 5 CRCM, 5 CERP & 5 CAFP Credits Apply

- 9:00 am – 9:30 am** **Registration and Breakfast**
- 9:30 am – 9:35 am** **Welcome**
- 9:35 am – 9:45 am** **New Jersey Coalition Against Human Trafficking**
*Sheri Levine-Shea, Chair, Bank Awareness Committee,
New Jersey Coalition Against Human Trafficking*
- 9:45 am – 10:35 am** **The Regulatory Perspective: BSA Hot Topics**
Moderated by: *Raji Sathappan, CPA, MBA, CRCM, CISA, CAMS,
Managing Director, Mercadien, P.C.*
Panelists: *Dulce Jerez, BSA/AML Lead Expert, Office of the Comptroller of
the Currency*
*Evolvy Santiago, LFBO Supervisory Risk Specialist, Federal Reserve Bank of
Philadelphia*
- A panel of regulators will discuss Bank Secrecy Act hot topics.*
- 10:35 am – 11:25 am** **AML Program Rule & National Priorities: What's Next for
BSA Compliance**
Dominic Hulick, Director, Mercadien, P.C.
- Gain a comprehensive overview of FinCEN's proposed AML Program Rule and its alignment with National AML/CFT
Priorities including key requirements, risk assessment expectations, and anticipated impacts on policies, procedures,
and resource allocation. Learn practical steps for gap analysis, stakeholder engagement, and roadmap development to
ensure proactive compliance and readiness for regulatory scrutiny.*
- 11:25 am – 11:40 am** **Networking Break**

Continued on reverse

11:40 am – 12:30 pm

Embedding AI in Financial Crimes with Governance and Transparency

Sriram Tirunellayi, Director of Applied AI, Abrigo

Explore the evolving role of predictive and generative AI in financial crimes, with a focus on responsible implementation. Discover how to validate models for compliance use, translate outputs into explainable, actionable insights, and align AI with existing workflows.

12:30 pm – 1:20 pm

Suspicious Activity Reports

Jennifer Friesz, Managing Director, Financial Services Risk & Regulatory, Forvis Mazars

Learn how to identify, document, and report potentially suspicious transactions that may indicate money laundering, fraud, or other financial crimes. Discover red flags, regulatory requirements, and proper procedures for timely and accurate SAR filing to ensure compliance with CFT/AML.

1:20 pm – 2:00 pm

Networking Lunch

2:00 pm – 2:50 pm

Best Practices for Governance, Validation, and AI Models

Ryne Cornacchia, Senior Consultant, Wolf and Company, P.C.

Master critical AI model governance, FinCEN priorities integration, and SAR/CTR reporting requirements to strengthen your institution's compliance framework and risk management capabilities. Learn how to navigate upcoming regulatory changes including the Corporate Transparency Act, enhanced CDD procedures, and emerging digital asset regulations that will impact your operations.